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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | |
|--|------------------|-------------|
| Application Number | 10/588,602 | |
| Filing Date | August 7, 2006 | |
| First Named Inventor | Quinn Tang | |
| Art Unit | Not Yet Assigned | |
| Examiner Name | Not Yet Assigned | |
| Total Number of Pages in This Submission | Not Yet Assigned | INTM/019 US |

| ENCLOSURES (Check all that apply) | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Preliminary Amendment and Response to Notification of Defective Response (in duplicate) <input type="checkbox"/> Assertion of Small Entity Status under 37 C.F.R. § 1.27(c)(1) <input type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Declaration (executed) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input checked="" type="checkbox"/> Sequence Listing (Computer readable diskette) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <ul style="list-style-type: none"> 1. Statement under 37 CFR §§ 1.825(a) and (b); 2. Paper copy of Sequence Listing (54 pages); 3. Copy of Notification of Defective Response, including marked-up Raw Sequence Listing; and 4. Postcard. |
| Remarks The Director is hereby authorized to charge any fee due, or to credit any overpayment, in connection with this submission to Deposit Account No. 06-1075, Order No. 104825-0019. A duplicate copy of this Transmittal is enclosed herewith. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Ropes & Gray LLP | | |
| Signature |  | | |
| Printed name | Alla Brukman | | |
| Date | July 10, 2008 | Reg. No. | 61,254 |

CERTIFICATE OF EXPRESS MAIL TRANSMISSION – Express Mail No.: EM014769592US

I hereby certify that this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | | | |
|-----------------------|---|---------------|--|
| Signature |  | | |
| Typed or printed name | SARAH SCHLIE | | |
| | Date | July 10, 2008 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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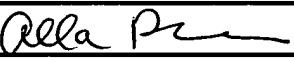
TRANSMITTAL FORM

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| | Filing Date | August 7, 2006 |
| | First Named Inventor | Quinn Tang |
| | Art Unit | Not Yet Assigned |
| | Examiner Name | Not Yet Assigned |
| Total Number of Pages in This Submission | Not Yet Assigned | INTM/019 US |

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| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Preliminary Amendment and Response to Notification of Defective Response (in duplicate) <input type="checkbox"/> Assertion of Small Entity Status under 37 C.F.R. § 1.27(c)(1) <input type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Declaration (executed) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input checked="" type="checkbox"/> Sequence Listing (Computer readable diskette) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <ol style="list-style-type: none"> 1. Statement under 37 CFR §§ 1.825(a) and (b); 2. Paper copy of Sequence Listing (54 pages); 3. Copy of Notification of Defective Response, including marked-up Raw Sequence Listing; and 4. Postcard. |
| Remarks | | |
| <p>The Director is hereby authorized to charge any fee due, or to credit any overpayment, in connection with this submission to Deposit Account No. 06-1075, Order No. 104825-0019. A duplicate copy of this Transmittal is enclosed herewith.</p> | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Ropes & Gray LLP | | |
| Signature |  | | |
| Printed name | Alla Brukman | | |
| Date | July 10, 2008 | Reg. No. | 61,254 |

CERTIFICATE OF EXPRESS MAIL TRANSMISSION – Express Mail No.: EM014769592US

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| | |
|-----------------------|---|
| Signature |  |
| Typed or printed name | SARAH SCHLIE |
| Date | July 10, 2008 |

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| | | |
|--|-----------------------|---|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/588,602 | Quinn Tang | INTM/019 US |
| 1473 ROPS & GRAY LLP PATENT DOCKETING 39/361 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036-8704 | | INTERNATIONAL APPLICATION NO. PCT/US05/03857 |
| | | I.A. FILING DATE PRIORITY DATE 02/07/2005 02/05/2004 |

CONFIRMATION NO. 7130
371 FORMALITIES LETTER



OC000000030387715

Date Mailed: 06/10/2008

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 08/07/2006
- Copy of the International Search Report filed on 08/07/2006.
- Copy of IPE Report filed on 08/07/2006
- Copy of Annexes to the IPER filed on 08/07/2006
- Preliminary Amendments filed on 08/07/2006
- Biochemical Sequence Diskette filed on 06/13/2007
- Oath or Declaration filed on 06/13/2007
- Biochemical Sequence Listing filed on 06/13/2007
- Small Entity Statement filed on 06/13/2007
- U.S. Basic National Fees filed on 08/07/2006
- Priority Documents filed on 08/07/2006
- Paper nucleotide sequence listings filed on 06/13/2007

Applicant's response filed 06/13/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/13/2007 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Refer to attachment or PAIR document dated 02-21-2008.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

=====

Sequence Listing could not be accepted due to errors.

See attached Validation Report.

If you need help call the Patent Electronic Business Center at (866) 217-9197 (toll free).

Reviewer: Anne Corrigan

Timestamp: [year=2007; month=11; day=27; hr=14; min=13; sec=36; ms=956;
]

=====

Reviewer Comments:

<210> 1

<211> 21

<212> DNA

<213> Mus musculus

<400> 1

aagccgtcct gtgtgccgct g

Please insert a cumulative nucleotide total at the right margin. This type of error appears globally. FYI: per Sequence Rules, the maximum number of characters per line (includes white spaces) is 72.

Application No: 10588602 Version No: 1.0

Input Set:

Output Set:

Started: 2007-11-02 19:37:59.191
Finished: 2007-11-02 19:38:05.924
Elapsed: 0 hr(s) 0 min(s) 6 sec(s) 733 ms
Total Warnings: 22
Total Errors: 373
No. of SeqIDs Defined: 374
Actual SeqID Count: 374

| Error code | Error Description |
|------------|--|
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(1) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(2) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(3) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(4) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(5) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(6) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (7) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 10 SEQID(7) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (8) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 15 SEQID(8) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(9) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(10) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (11) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(11) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (12) |

Input Set:

Output Set:

Started: 2007-11-02 19:37:59.191
Finished: 2007-11-02 19:38:05.924
Elapsed: 0 hr(s) 0 min(s) 6 sec(s) 733 ms
Total Warnings: 22
Total Errors: 373
No. of SeqIDs Defined: 374
Actual SeqID Count: 374

| Error code | Error Description |
|------------|---|
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 21 SEQID(12) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (13) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 47 SEQID(13) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (14) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 47 SEQID(14) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (15) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 47 SEQID(15) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (16) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 30 SEQID(16) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (17) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 30 SEQID(17) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (18) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 30 SEQID(18) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (19) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 30 SEQID(19) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (20) |
| E 254 | The total number of bases conflicts with running total Input: 0, Calculated : 20 SEQID(20) This error has occurred more than 20 times, will not be displayed |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (21) |

Input Set:

Output Set:

Started: 2007-11-02 19:37:59.191
Finished: 2007-11-02 19:38:05.924
Elapsed: 0 hr(s) 0 min(s) 6 sec(s) 733 ms
Total Warnings: 22
Total Errors: 373
No. of SeqIDs Defined: 374
Actual SeqID Count: 374

| Error code | Error Description |
|------------|--|
| W 213 | Artificial or Unknown found in <213> in SEQ ID (22) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (23) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (24) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (25) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (26) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (27) |
| W 213 | Artificial or Unknown found in <213> in SEQ ID (28) This error has occurred more than 20 times, will not be displayed |

SEQUENCE LISTING

<110> TANG, QUINN
LU, PATRICK
XIE, FRANK
WOODLE, MARTIN

<120> RNAI THERAPEUTICS FOR TREATMENT OF EYE
NEOVASCULARIZATION DISEASES

<130> INTM/019 US

<140> 10588602
<141> 2007-11-02

<150> PCT/US05/003857
<151> 2005-02-07

<150> 60/541,775
<151> 2004-02-05

<160> 374

<170> PatentIn Ver. 3.3

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oligonucleotide

<400> 7
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<210> 8
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<210> 9
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<400> 9
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